



DHC *IPW*
PTO/SB/21 (09-04)

Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/791,709
Filing Date	03/04/2004
First Named Inventor	Jen-Chau Wu
Art Unit	2814
Examiner Name	PHAM, LONG
Total Number of Pages in This Submission	6
Attorney Docket Number	UECP0012USA

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. A copy of the request letter submitted on 02/03/2005 (2 pages) 2. A copy of FEE TRANSMITTAL submitted on 02/03/2005
<input type="checkbox"/> Remarks Request for Deduction		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	4/13/2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Janice Chen</i>		
Typed or printed name	Janice Chen	Date	4/13/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jen-Chau Wu et al.

5 Examiner: PHAM, LONG Art Unit: 2814

Serial No.: 10/791,709 Filing Date: 03/04/2004

Docket No.: UECP0002USA

Title: ESD Protection Configuration and Method for Light
10 Emitting Diodes

To: Deposit Account Branch
Commissioner for Patents
P.O. Box 1450
15 Alexandria VA 22313-1450

Subject: Request for Deduction

Dear Sir,

20

The applicant filed a Request to supplement the deficiency
of the basic filing fee and the excess claim fee pursuant to
37 CFR 1.27 (C) on 02/03/2005 (Attachment 1) and authorized
the USPTO to deduct the balance \$455.00 from the Deposit
25 Account No. 50-3105 (Attachment 2). The applicant recently
found that the USPTO did not charged any fee so far.

Please deduct the balance \$455.00 from the Deposit Account
No. 50-3105 as soon as possible. Your quick response will be
30 appreciated.

Adjustment date: 04/18/2006 SLUANG1
08/27/2004 DALLEN 00000027 10791709
01 FC:1461 -455.00 OP

04/18/2006 SLUANG1 00000004 503105 10791709
01 FC:1001
02 FC:1201 80.00 DA 790.00 OP
03 FC:1202 150.00 DA 120.00 OP

Adjustment date: 04/18/2006 SLUANG1
03/08/2004 ANABI1 00000012 10791709
01 FC:2001 -385.00 OP
02 FC:2202 -27.00 OP
03 FC:2201 -43.00 OP

Sincerely yours,

Winston Hsu

Date: 04/13/2006

5 Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

10

Note: Please leave a message in my voice mail if you need to talk to me. (The time in D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)



Attachment 1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jen-Chau Wu Examiner : Unknown
Filing Date: 03/04/2004 Art Unit : Unknown
App. No. : 10/791,709 Docket No.: UECP0012USA

Title: ESD PROTECTION CONFIGURATION AND METHOD FOR LIGHT
EMITTING DIODES

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Subject: Request to supplement the deficiency of the basic
filing fee and excessive claim fee pursuant to
37 CFR 1.27(c)

Dear Sir:

The fee \$495.00 (\$385- Filing fee; \$70- Excessive claim
fee; \$40- Assignment Recordation Fee) of the above-identified
application was paid on 3/4/2004. But the entity status of
the assignee was mistakenly selected as a small entity on the
fee transmittal. The entity status should be a large entity.
And the undersigned agent filed Notification of loss of
entitlement to small entity status according to 37 CFR 1.27
(g) (2) on 2/3/2005.

The undersigned agent hereby requests to supplement the
deficiency of the filing fee and the excessive claim fee pursuant

to 37 CFR 1.27(c). Please deduct the balance \$455.00 from the deposit account 50-3015. Your assistance is highly appreciated.

Respectfully submitted,

Winston Hsu

Date: FEB 03 2005

Winston Hsu, Patent Agent No. 41,526
P.O. BOX 506, Merrifield, VA 22116, U.S.A.
Voice Mail: 302-729-1562
Facsimile: 806-498-6673
e-mail : winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. The time difference between D.C. and Taiwan is 13 hours. The preferred time period for telephone conversation is 7 AM (or earlier) - 11 AM, D.C. time.



Attachment 2

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

Fee Transmittal For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$455.00)

Complete if Known

Application Number	10/791,709
Filing Date	03/04/2004
First Named Inventor	Jen-Chau Wu
Examiner Name	Unknown
Art Unit	Unknown
Attorney Docket No.	UECP0012USA

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____
 Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Small Entity

Fee (\$)

Fee (\$)

50 25

Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

200 100

Multiple dependent claims

360 180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

- 20 or HP = _____ x _____ = _____ **Fee (\$)** **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)

for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**

- 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

Fees Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Supplement the deficit of the issue fee

455.00

SUBMITTED BY

Signature	Winston Hsu	Registration No. (Attorney/Agent)	41,526	Telephone	302-729-1562
Name (Print/Type)	Winston Hsu			Date	FEB 03 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.